

1 **The validity of the Minimum Data Set for assessing nutritional status in**  
2 **nursing home residents**

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22 Running Head: Validity of MDS

1 Abstract

2       The Minimum Data Set (MDS), a Health Care Financing Administration (HCFA)-  
3 mandated resident assessment system used in community nursing homes, is potentially useful to  
4 assess nutritional status. Our study had two purposes: (1) To compare anthropometric measures  
5 of nutritional status available in the MDS (weight and body mass index) to other anthropometric  
6 and bioelectric measures of nutritional status, not available on the MDS and (2) to evaluate the  
7 associations of MDS-measured clinical characteristics of nursing home residents with  
8 anthropometric and bioelectrical measures of lower nutritional status and higher nutritional  
9 status, defined as measures in the 25th percentile and below, and 75th percentile and above,  
10 respectively. The measures studied were: body weight, body mass index (BMI), mean arm  
11 muscle circumference, percent body fat and fat free mass. Data were from a sample of residents  
12 of an academic long term care facility (n=186, mean age  $89.9 \pm 5.6$  years, 75% female). MDS  
13 evaluations were done simultaneously with the anthropometric/bioelectrical measures. Results  
14 were: (1) MDS measures of weight and BMI were significantly correlated with all the  
15 anthropometric and bioelectrical measures of nutritional status in women, and most measures in  
16 men; (2) Some MDS variables, including poor oral intake and advanced cognitive decline, were  
17 significantly associated with at least two anthropometric/bioelectrical measures of lower  
18 nutritional status; and (3) complaints of hunger were significantly associated with at least two  
19 anthropometric/bioelectrical measures of higher nutritional status. Results suggest: (1) Weight  
20 and BMI, available on the MDS, are correlated with other measures of nutritional status not  
21 available, and (2) MDS clinical variables are associated with measures of lower and higher  
22 nutritional status, and may be useful to identify patients at nutritional risk.

23 **Key Words:** undernutrition, overnutrition, nutritional status, long term care

## 1 Introduction

2           Undernutrition in nursing home residents is an important clinical and public health  
3 problem. Malnourished residents are likely to experience worsening of their chronic conditions  
4 and function, increased infection rates, poor wound healing and pressure ulcers [1-5]. Several  
5 studies have found that they also have a higher risk of hospitalization and mortality [6-8].

6           It is not known whether it is possible to improve nutritional status in some or all  
7 undernourished nursing home residents, or whether such improvement would lead to improved  
8 functional status, decreased hospitalizations, or decreased mortality. Such improvements are  
9 complicated by the intertwining of poor nutritional status and chronic illness and poor functional  
10 status. A recent randomized trial in frail elderly nursing home residents found that multinutrient  
11 supplementation without exercise did not improve frailty or muscle weakness [9]. However,  
12 observational research suggests that nutritional status may independently affect resident  
13 outcomes [6,7,10-12] and that some of the risk factors for development of poor nutritional status  
14 may be modifiable [13,14,15].

15           Much less is known about obese nursing home residents. Some authors have described  
16 characteristics of residents with overnutrition [16], and outcomes have only occasionally been  
17 addressed [2]. In community-dwelling elders, obesity is associated with increased disability and  
18 mobility impairment [17], outcomes potentially relevant to nursing home residents as well.

19           The Minimum Data Set (MDS) may be useful to study the nutritional status of nursing  
20 home residents and how it affects clinical status and health services utilization. The MDS is the  
21 assessment instrument that constitutes the core of the Resident Assessment Instrument (RAI)  
22 system used in virtually all US community nursing homes [18]. Several papers have documented  
23 the inter-rater reliability of MDS items, including the level of agreement between research teams  
24 and staff nurse assessors [18,19,20,21]. The MDS allows the uniform collection of resident  
25 clinical information longitudinally, and that information can be linked to data concerning health  
26 services utilization and mortality. However, before the MDS is used for such evaluations, it is  
27 important to assess the validity of an MDS assessment of nutritional status. Although there is no

1 defined "gold standard" measure of nutritional status in older adults against which MDS  
2 measures can be compared, it is possible to assess two measures of validity. First, we can study  
3 how resident clinical characteristics measured by the MDS are associated with several different  
4 biomedical measures of nutritional status (convergent validity), and secondly, we can study how  
5 the anthropomorphic measures of nutritional status that are available on the MDS, the weight and  
6 the body mass index (BMI, or weight corrected for height) are associated with both other MDS  
7 clinical characteristics and other biomedical and anthropometric measures of nutritional status  
8 (construct validity). Therefore, we designed a study to compare the associations of MDS-  
9 measured resident characteristics hypothesized to affect nutritional status, with anthropometric  
10 and bioelectrical impedance assessments (BIA) of body composition.

### 11 Subjects and Methods

12 This study was a cross-sectional observational study of a sample of residents in a large  
13 long term care facility (Hebrew Rehabilitation Center for the Aged or HRCA) affiliated with an  
14 academic medical center in Boston. This facility has multiple levels of care, with residents  
15 ranging from functional to very debilitated. The study sample (n=186) was a stratified  
16 probability sample selected to reflect the clinical diversity of the residents of the facility.  
17 Informed consent was obtained from the residents or their responsible party. The study was  
18 approved by the Institutional Review Board of the HRCA.

### 19 *MDS-Measured Clinical Characteristics*

20 The MDS was administered to all study subjects by a research nurse. As always with the  
21 MDS, all sources of information about the residents were used, including the resident, the  
22 nursing staff, the medical record, and the family. The resident characteristics of interest were  
23 those which have been shown in other studies [13] or would be expected clinically to affect  
24 nutritional status. These included: (1) eating-related characteristics, such as dentition, ability to  
25 chew and swallow, oral intake, and feeding dependency; (2) functional characteristics (Activities  
26 of Daily Living, or ADL's); (3) cognitive performance and behavioral problems; (4) affective  
27 status; and (5) chronic and subacute conditions (recent urinary tract infection, presence of

1 pressure ulcers). MDS measures of these clinical characteristics were analyzed either as single  
2 item or composite measures. Oral and dental problems were considered present if MDS  
3 variables indicated any of the following problems: presence of mouth pain, presence of broken  
4 teeth, or gum inflammation. Chewing and swallowing problems were assessed by the  
5 corresponding MDS questions. Functional characteristics were measured by summing the level  
6 of dependency in ADLs, excluding eating dependency, which was evaluated separately. ADL  
7 dependency was further categorized into a two-level variable for severe dependency vs.  
8 otherwise. Severe dependency was defined as being very dependent or totally dependent in those  
9 ADL measures considered the "late lost" functions, including bed mobility, transfer and toilet  
10 use [22]. Cognitive performance was measured by the cognitive performance score (CPS) [23].  
11 This scale combines five MDS cognitive items into a multilevel variable (0-6) that has been  
12 validated against the Mini Mental Status Examination (MMSE) [24] and Test for Severe  
13 Impairment (TSI) [25]. CPS Levels 5 and 6 are distinguished by eating dependency (evaluated  
14 separately in this study), so they were combined. In this study we further categorized the CPS  
15 into a two level variable for severe impairment (levels 4 and 5) vs. otherwise. Affective status  
16 was measured by a variable indicating presence or absence of depressed behaviors, such as  
17 "crying", "thinks about death", and "stays in room." Behavioral problems were considered  
18 present if any one of the following behaviors were present daily: wandering, verbal abuse,  
19 physical abuse, inappropriate behavior, or if hallucinations/delusions were noted. All other  
20 measures were single items taken directly from the MDS. Data on all MDS measures were  
21 available on all but one of the 186 study subjects.

22       The MDS also measures height and weight, and specifies how they are to be measured  
23 ("Measure after voiding, before meal, with shoes off, and in nightclothes.") [18]. Weight and  
24 height are measured in pounds and inches. Height is measured on all new residents, and then  
25 once a year. Weight is measured on all new residents and then once a month. The MDS research  
26 nurse measured height and weight if possible, regardless of the time period, but took weights  
27 from the chart if they were within one month and the resident could not be weighed by one

1 person. If there was no height within one year, and measured height could not be obtained, the  
2 MDS nurse did not record historical height. The scale available on the clinical floor was used,  
3 along with the attached rod to measure height. No special calibration was done. Of the 186  
4 study subjects, height was obtained only on 86, and weight on 181. Therefore, an MDS-BMI  
5 could be calculated (see appendix for formula) on only 86 residents.

6 In this study, disease diagnoses for each subject were specified by the personal physicians  
7 of the study subjects, using a standardized checklist. We evaluated chronic diseases and  
8 conditions present in 5% or more of the study subjects for their associations with measures of  
9 nutritional status.

10 No biochemical measures were consistently available for study subjects, so they were not  
11 considered in our study.

12

### 13 *Anthropometric/BIA Measures of Nutritional Status*

14 Anthropometric/BIA measures of nutritional status were obtained by a separate  
15 nutritional research team (NRT); the same team obtained all measurements. Measurements were  
16 made on the right side of the body with residents in the seated or supine position. Weights and  
17 BIA measurements were obtained in the morning with the resident fasting, wearing light indoor  
18 clothing and no shoes. Standard technique was followed for anthropometric measures (Chumlea,  
19 20). The measures obtained were body weight, height, knee height, triceps skin fold (TSF), mid-  
20 arm circumference (MAC), and bioelectrical impedance (BIA) [26-28]. A specially calibrated  
21 scale was used for weights, and knee height was measured by a Ross anthropometer (Columbus,  
22 Ohio). Standing height was measured by having the resident stand against a flat wall in stocking  
23 feet, marking where the top of the head meets the wall with a lucite plane held parallel to the  
24 floor, and then measuring that vertical distance to the nearest millimeter with a flexible tape  
25 measure. From these measures we calculated body mass index (BMI), mean arm muscle area  
26 (MAMA), predicted height from knee height [29-31], percent body fat (%BF), and fat free mass  
27 (FFM) using gender-specific prediction equations when indicated [26,27]. (The equations used

1 are provided in the appendix). No anthropometric measure collected by the NRT was obtained  
2 on every study subject. Of the 186 subjects, body weight was obtained on 165. TSF and MAC  
3 were obtained on 166, BIA on 143, knee height on 155, and height on 113. (The MDS research  
4 nurse obtained height only on 86 residents because she worked alone and could not adequately  
5 stabilize the frailest residents. Two members of the NRT performed anthropometric  
6 measurements on frail residents). Because so few sample residents had height measured by the  
7 NRT, a prediction equation for height, based on knee height, [30] was derived for our sample for  
8 males and females separately, using data from the 76 women and 37 men who had both  
9 measured. This predicted height was used for those 55 residents who had only knee height  
10 available. For those 113 who had measured height available, measured height was used. To  
11 maximize sample size, this combined height variable was used for all calculations and analyses  
12 that required height. However, we also ran all analyses described below using predicted height  
13 from knee height for all 155 residents. The results were not different, and reported results used  
14 the combined height variable, i.e., measured height when available and predicted height when  
15 necessary.

16 We evaluated seven different anthropometric/BIA measures of nutritional status for their  
17 associations with MDS measured clinical characteristics. Specifically, we studied which  
18 characteristics were associated with a resident's presence at or below the 25th percentile on any  
19 anthropometric measure, or at or above the 75th percentile on any anthropometric measure. The  
20 anthropometric/BIA measures studied were body weight and BMI from both the MDS research  
21 nurse (MDS-WT and MDS-BMI) and the NRT (NRT-WT and NRT-BMI) calculated as  
22 discussed above; and MAMA, fat free mass (FFM) and percent body fat (%BF), all calculated  
23 from measures collected by the NRT. For FFM and %BF, gender specific equations were  
24 calculated using bioelectrical impedance measures and the combined height measure (see  
25 appendix).

## 1 *Data Analysis*

2 MDS-measured resident characteristics and anthropometric/BIA measures were evaluated  
3 by standard descriptive statistics, frequencies for categorical variables and univariate analysis for  
4 continuous variables. Correlations among the MDS-WT and MDS-BMI and the five  
5 anthropometric/BIA measures collected by the NRT were evaluated, as were the correlations  
6 among the NRT measures. The MDS-WT and MDS-BMI, and the five NRT anthropometric/BIA  
7 measures chosen as indicators of nutritional status were categorized into a lower and higher  
8 nutritional status group for each of the indicators. The lower nutritional status group for any  
9 anthropometric/BIA measure was defined as those whose MDS or NRT measure was at or below  
10 the 25th percentile, and the higher group as those at or above the 75th percentile.

11 We used the chi square of association to evaluate the relationships of the MDS clinical  
12 variables with different lower nutritional status and higher nutritional status groups defined for  
13 each of the two MDS and five NRT anthropometric/BIA measures of nutritional status. Those  
14 variables with the strongest bivariate associations ( $p \geq .1$ ) were evaluated in separate  
15 multivariate logistic regression models, one for each variable. Each model explored the  
16 relationship with three measures of lower and higher nutritional status representing different  
17 methods and difficulties of measurement, (BMI, MAMA, and %BF) and adjusted for age and  
18 gender.

19 Extensive information from the MDS was available from residents with missing  
20 anthropometric/BIA measures. This was used to evaluate collection bias for the  
21 anthropometric/BIA measures.

22 The statistical program used was SAS for Windows (SAS Institute, Cary, NC) [32].

23

24

## 25 Results

26 Resident characteristics measured by the MDS and frequencies of major chronic diseases  
27 are shown in Table 1. The mean age of the study sample was 89.9 years  $\pm$  5.6. Ages ranged



1 from 75 to 104 with 20% of the sample over 95 years old. Seventy five percent of the residents  
2 were female, 26% had poor oral intake and 19% were eating dependent (required extensive or  
3 total assistance with feeding). Over 30% had poor cognitive performance, nearly 11% had  
4 problems with chewing, and 7% had oral-dental problems.

5 Missing data analysis was performed to investigate collection bias in the sample. Height  
6 was the item most often missing. Body weight and arm measures were most often obtained,  
7 while bioelectrical measures and knee height were in the middle. MAC and TSF had little  
8 associated bias. The more dependent residents were significantly less likely in our study to have  
9 measured MDS height, NRT-HT, bioelectrical impedance, and knee height. For example, of  
10 residents with advanced ADL dependency, 14% had NRT height and 55% had BIA  
11 measurements. However, of residents with mild to moderate ADL dependency, 82% had NRT  
12 height and 86% had BIA measurements, a statistically significant difference. Eating dependent  
13 residents were particularly poorly characterized; fewer than half had bioelectrical impedance  
14 measures and only 11% had measured NRT height.

15 Table 2 shows means, ranges, and values for the 25th and 75th percentiles for the two  
16 MDS and five NRT anthropometric/BIA variables considered in this study for women and men.  
17 As expected, gender differences are apparent (and statistically significant, analysis not shown)  
18 for both body weight variables, MAMA, FFM and %BF, but not for BMI.

19 Table 3 shows the correlations between the MDS-WT and BMI, and the NRT  
20 anthropometric and bioelectrical measures of body composition (weight, BMI, MAMA, FFM  
21 and %BF) by gender. For women, correlations between the MDS measures and the NRT  
22 measures are relatively high and statistically significant. For men, the MDS-BMI was  
23 significantly correlated only with the NRT-BMI, although the MDS-WT correlated well with all  
24 NRT measures. The NRT measures were significantly correlated among themselves, except for  
25 FFM and MAMA in men, and FFM and %BF in women. As Table 3 shows, different sample  
26 sizes are available for different correlation analyses.

1           Table 4 demonstrates the relationship of MDS measures of clinical status and chronic  
2 diseases with the MDS-WT and BMI, and NRT anthropometric/BIA measures of lower  
3 nutritional status. Results were combined for men and women because no significant or even  
4 borderline gender differences were noted. This table is organized so that the first column gives  
5 the percent of the total sample of residents with the stated characteristic, and thus serves as a  
6 reference. Subsequent columns give the percent of residents with the particular characteristic out  
7 of all who were in the lower nutritional status group (lowest quartile) for each of seven  
8 anthropometric measures. For example, 26% of the total sample had poor oral intake, but 36% of  
9 the lower nutritional status group as defined by the lowest quartile of the NRT-BMI had poor  
10 oral intake. This increased association was statistically significant at  $p < .05$ . An asterisk indicates  
11 a statistically significant association by chi square ( $p < .05$ ), a B indicates a borderline association  
12 ( $p = .05-.1$ ). As Table 4 shows, poor intake, eating dependency, history of weight loss, poor  
13 cognitive performance, and presence of a pressure ulcer had significant or borderline  
14 associations with  $\geq 2$  measures of lower nutritional status. Other clinical characteristics had  
15 borderline or significant associations with 1 or 2 anthropometric/BIA measures (examples  
16 include swallowing problems, and diagnosis of stroke). Although the MDS-WT and MDS-BMI  
17 did not have statistically important associations except with poor intake, they generally echoed  
18 the trends of the NRT variables. It is important to remember when reading this table that  
19 different sample sizes were available for each analysis involving an anthropometric variable, and  
20 that dependent residents were under-represented except for analyses involving MAMA and  
21 weight. Among resident characteristics that did not show significant relationships with measures  
22 of undernutrition were advanced ADL dependency, depressed behaviors, behavior problems, and  
23 diagnosis of depression.

24           Table 5 shows the associations of MDS-measured resident characteristics and chronic  
25 diseases with anthropometric/BIA measures of higher nutritional status. Results for men and  
26 women were again combined because no gender differences were noted, and the table is  
27 constructed in the same way as table 4. Those with poor oral intake, eating dependency, poor

1 cognitive performance, and advanced ADL dependency were significantly less likely to have  
2 anthropometric/BIA indices in the 75th percentile and above. The only MDS characteristic  
3 predicting higher nutritional status was "complaints of hunger", but disease diagnoses of CHF  
4 and diabetes mellitus were associated with several measures. Note that residents with certain  
5 clinical characteristics were rare in the higher nutritional status group. For example, few or even  
6 no residents with weight loss, swallowing problems or pressure ulcers were in certain higher  
7 nutritional status groups.

8 Table 6 gives the odds ratios adjusted for age and sex, and the 95% confidence intervals,  
9 for certain clinical variables associated with lower and higher nutritional status as assessed by  
10 BMI, MAMA, and %BF (including some variables with borderline effects). Poor oral intake  
11 and, to a lesser extent, eating dependency, increased the odds of being in the lower nutritional  
12 status groups and decreased the odds of being in the higher nutritional status group. Complaints  
13 of hunger and presence of CHF increased odds of being in a higher nutritional status group (BMI  
14 and %BF). Diabetes mellitus significantly increased odds of being in the upper quartile of  
15 percent body fat (not shown in table) with odds ratio of 2.75, 95% confidence interval 1.1-7.2,  
16 but was not significantly associated with other measures of higher nutritional status.

### 17 Discussion

18 This study showed that some nutritionally-related and dependency variables measured by  
19 the MDS are significantly associated with anthropometric/BIA measures of nutritional status in  
20 nursing home residents. Poor oral intake, poor cognitive performance, eating dependency, and  
21 pressure ulcers were significantly more likely to be present in residents with lower nutritional  
22 status, and conversely, less likely to be present in those with higher nutritional status. Chronic  
23 diseases measured by attending physician clinical diagnoses were not generally associated with  
24 lower nutritional status, although Alzheimer's Disease and presence of CVA were marginally and  
25 significantly associated with the lowest quartile of MAMA, respectively. However, CHF and  
26 diabetes mellitus were associated with some anthropometric measures in the 75th and higher  
27 percentile. Higher values for residents with CHF may be due to edema, which was not otherwise

1 measured in this study. However, the BIA estimates of FFM and %BF are derived from an  
2 estimate of total body water, which may be elevated in these subjects, thus giving a false  
3 impression of high FFM when it may really be increased extracellular water. Thus, our BIA data  
4 indicates that the MDS, and traditional anthropometric measures in general, may miss  
5 undernutrition in people with CHF or other conditions leading to edema.

6 The only other MDS variable that was useful to identify residents in the highest quartile  
7 of anthropometric measures was "complaints of hunger". Although this variable could  
8 theoretically be a measure of lower nutritional status, it turns out to be a good measure of higher  
9 nutritional status, and demonstrates that well- or over-nourished people often feel hungry and  
10 want to eat, whereas anorexia is a more common symptom in chronic under nutrition.

11 An important issue to consider is our definitions of lower nutritional status and higher  
12 nutritional status, and how these groups, (the lower and upper quartile of anthropometric/BIA  
13 measures, respectively), might relate to clinically relevant undernutrition and obesity. In his  
14 reanalysis of the Build data, Andres found that a BMI=26.6 kg/m<sup>2</sup> was associated with lowest  
15 mortality in people 60-69 (insufficient data was available for those older), and mortality began to  
16 increase both below and above this value [33]. Although our use of the lowest and highest  
17 quartiles of BMI and other anthropometric/BIA measures (see table 3) represented arbitrary cut-  
18 points for study, most of the residents in the NRT-BMI group (all but 1 man and 2 women) had a  
19 BMI < 22.5 kg/m<sup>2</sup>, which has been associated with a higher mortality rate in older community-  
20 living adults [34]. Residents in the highest quartile of BMI, however, were not all extremely  
21 obese, although for both genders about half of the HNS group had BMI ≥ 30 kg/m<sup>2</sup>. Future  
22 research is needed to determine mortality and morbidity outcomes associated with different  
23 values for BMI and other anthropometric variables in nursing home residents and the oldest old  
24 in general.

25 In addition, different anthropometric measures represent differences in body composition,  
26 and thus may have different clinical implications. Although it is beyond the scope of this study  
27 to address this issue, most associations were noted with %BF and BMI, followed closely by

1 MAMA. Weight alone, whether collected by the MDS nurse or the NRT, also had similar.  
2 although not always statistically significant, associations with clinical variables. In addition, the  
3 anthropometric/BIA measures represented different methods and difficulty of collection: BMI,  
4 which requires weight and height assessment, MAMA, which requires measures (TSF and MAC)  
5 that are relatively easy to do in dependent people, and %BF, which requires both height and  
6 bioelectrical measures.

7 Our findings regarding the relationships of MDS clinical variables to lower nutritional  
8 status are consistent with previous research regarding undernutrition in nursing home residents.  
9 Studies have found that poor oral intake [2,13,29,35,36], cognitive decline, ADL and/or eating  
10 dependency [2,13,35,36] and pressure ulcers [5] were associated with poor nutritional status in  
11 nursing home residents. In another study involving MDS nutritional variables, depressed  
12 behaviors were shown to be related to weight loss, but not to low BMI [13].

13 Our research, however, makes a unique contribution because measurements of resident  
14 clinical characteristics are taken from the Minimum Data Set and related to anthropometric/BIA  
15 measures of nutritional status, thereby addressing the validity of using the MDS to assess and/or  
16 study nutritional status in nursing home residents. Our results have two important implications:  
17 (1) They demonstrate that the MDS is a valid clinical tool to identify many residents with lower  
18 nutritional status (convergent validity), although it may be less useful to identify residents with  
19 higher nutritional status. (2) They demonstrate that weight and BMI, anthropometric measures  
20 available on the MDS, can be appropriately used as measures of nutritional status, since they are  
21 generally significantly correlated with other potential anthropometric/BIA measures not available  
22 on the MDS (construct validity). In addition, associations between MDS clinical variables and  
23 MDS-WT and MDS-BMI were similar in direction and magnitude (although not usually  
24 statistically significant in our sample) to associations between clinical variables and NRT  
25 variables.

26 Our study has certain limitations. First, an instrument for the comprehensive assessment  
27 of nutritional status has not been uniformly accepted, so there is no way to assess criterion

1 validity of the MDS or any other measure. Secondly, there was no systematic collection of  
2 albumin or cholesterol on a routine basis on these patients, so it was not possible to have a value  
3 closely linked in time to the data collected for this study. However, marasmus may be more  
4 commonly encountered than protein malnutrition in nursing home residents and is clearly  
5 associated with morbidity [37,38,39], hence the emphasis in our study on anthropometric  
6 evidence of wasting. Third, some anthropometric/BIA measures were not collected on the most  
7 dependent residents, as described in the results section. Obtaining height and bioelectric  
8 measures on very dependent residents was a problem in our study. However, the NRT measured  
9 knee heights, which allowed us to develop a prediction equation for height relevant to our  
10 sample. However, even knee height was significantly less available for those with eating  
11 dependency and other advanced ADL dependencies, and tended to be less available for those  
12 with poor intake and advanced cognitive impairment. Although this collection bias would be  
13 expected to lead to bias in our results, any associations found should be weaker than are actually  
14 likely to be present.

15 Our experience also suggests that height may be missing on routine MDS determinations,  
16 or worse, may be inaccurately collected or noted. Researchers using MDS data must take care to  
17 investigate potential bias or measurement error in height measures. Also, consideration should  
18 be given to including knee height measures in the MDS or other studies where  
19 anthropometric/BIA measures are being collected in dependent residents.

20 A final limitation concerns the fact that the community long term care facility where our  
21 study was done is an academic and teaching nursing home and may not be typical of many  
22 community nursing homes. However, nursing homes are so heterogeneous that no one home can  
23 be considered typical. Our use of the MDS and several anthropometric/BIA measures of  
24 nutritional status allows us to characterize our study subjects in sufficient detail so that future  
25 researchers can compare their study population to ours. Part of the usefulness of the Resident  
26 Assessment System (RAI) and MDS is that consistent clinical information can be collected on  
27 residents of very different facilities.

1           The ability of the Resident Assessment System to identify resident characteristics  
2 associated with undernutrition has important implications for quality of care in nursing homes,  
3 prevention of medical and functional complications related to malnutrition, and possible decrease  
4 in acute hospital utilization. Because the RAI is used in virtually all community nursing homes  
5 in the US (and is being developed for home care and acute care use), and is connected to care  
6 planning and clinical interventions, it may be useful to identify resident groups demonstrating  
7 undernutrition (and perhaps overnutrition). If so, the RAI would provide an important  
8 opportunity to study medical outcomes related to nutritional risk and nutritional status, and  
9 potentially to evaluate interventions directed toward improving nutritional status of nursing home  
10 residents.

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Table 1. Resident Characteristics (n=186)

Characteristics	Number	Percent
<u>Demographics</u>		
Age (mean +/- sd)		89.9 +/-5.6
Female Gender	139	74.7
<u>MDS Variables</u>		
Eating Dependent	36	19.4
Poor Oral Intake	53	26.2
Chewing Problems	23	10.8
Swallowing Problems	13	7
Complaints of Hunger	17	9.2
Oral/Dental Problems	14	7.6
Advanced ADL Dependency	58	31.2
Depressed Behaviors	68	36.6
Behavior Problems	51	27.4
Advanced Cognitive Impairment	57	30.6
Pressure Ulcers	15	8.1
Bedfast	34	16.8
<u>Diagnoses from Physician Checklist</u>		
Congestive Heart Failure	53	28.5
Stroke	24	12.9
Diagnosis of Alzheimer's	74	39.8
Diagnosis of Depression	46	24.7
Diabetes Mellitus	32	17.2

Table 2. Anthropometric and Bioelectrical Measures of Nutritional Status

	Female						
	MDS Weight (lbs) (n=135)	NRT <sup>1</sup> Weight (lbs) (n=120)	MDS BMI (kg/m <sup>2</sup> ) (n=65)	NRT BMI (kg/m <sup>2</sup> ) (n=109)	MAMA <sup>2</sup> (cm <sup>2</sup> ) (n=118)	%BF <sup>3</sup> (n=99)	FFM <sup>4</sup> (kg) (n=99)
Total= 139	120.5+/-22.0	118.8+/-20.7	24+/-4.3	25.5+/-3.8	36.7+/-9.3	35.2+/-8.7	34.9+/-5.3
Mean +/- sd							
Range	63.0-190.0	76-174	16.2-38.9	17.9-36.2	20.9-66.5	10.6-58.2	25.3-48.9
25th Percentile	107	107	21.4	23.1	29.5	29.7	30.6
75th Percentile	136	132.7	26.5	28.1	43.1	41.4	38
	Male						
	MDS Weight (lbs) (n=46)	NRT <sup>1</sup> Weight (lbs) (n=45)	MDS BMI (kg/m <sup>2</sup> ) (n=21)	NRT BMI (kg/m <sup>2</sup> ) (n=45)	MAMA <sup>2</sup> (cm <sup>2</sup> ) (n=45)	%BF <sup>3</sup> (n=41)	FFM <sup>4</sup> (kg) (n=41)
Total=47	144+/-27.8	143.8+/-29.1	23.4+/-3.6	25.7+/-4.1	43+/-11.6	31.5+/-8.8	44.5+/-5.0
Mean +/- sd							
Range	83-246	82.3-253	19.1-33.6	20.0-40.9	19.9-81.6	12.6-52.9	32.7-55.8
25th Percentile	126	125.5	21.1	23.1	36.1	25.5	41.5
75th Percentile	155	159	23.7	27.8	49.2	37.7	48.1

<sup>1</sup>=Nutritional Research Team

<sup>2</sup>=Mean Arm Muscle Area

<sup>3</sup>=Percent Body Fat

<sup>4</sup>=Fat Free Mass

Table 3. Correlations: Females and Males, All Ages

Females (n=139)							
Males (n=45)	MDS WT	MDS BMI	NRT <sup>1</sup> WT	NRT BMI	MAMA <sup>2</sup>	%BF <sup>3</sup>	FFM <sup>4</sup>
	FEMALES						
MDS WT			.88*** (n=117)	.74*** (n=106)	.60*** (n=115)	.49*** (n=97)	.60*** (n=97)
MDS BMI			.56*** (n=60)	.73*** (n=56)	.40** (n=59)	.38** (n=52)	.42*** (n=52)
NRT WT	.99*** (n=44)	0.22 (n=20)			.64*** (n=117)	.58*** (n=99)	.67*** (n=99)
NRT BMI	.84*** (n=44)	0.48 (n=20)			.62*** (n=107)	.57*** (n=99)	.48*** (n=99)
MAMA	.78*** (n=44)	-0.6 (n=20)	.75*** (n=45)	.64*** (n=45)		.23* (n=99)	.55*** (n=99)
%BF	.83*** (n=40)	0.2 (n=19)	.82*** (n=41)	.78*** (n=41)	.59*** (n=41)		-0.19 (n=99)
FFM	.75*** (n=40)	0.06 (n=19)	.75*** (n=41)	.51** (n=41)	0.28 (n=41)	.63*** (n=41)	
	MALES						

<sup>1</sup>=Nutritional Research Team

\* p<.05

<sup>2</sup>=Mean Arm Muscle Area

\*\* p<.01

<sup>3</sup>=Percent Body Fat

\*\*\* p<.001

<sup>4</sup>=Fat Free Mass

Note different sample sizes (in parentheses) available for correlation analysis.

Correlation coefficients for females are shown in top diagonal of matrix, and those for males are shown in bottom diagonal of matrix.

The correlation coefficients for MDS WT and MDS BMI, and NRT WT and NRT BMI are not shown because weight is used to calculate BMI.

Table 4. Percent of Residents with Selected Clinical Characteristics in Lowest Quintile of Anthropometric/BIA<sup>1</sup> Measures (male and female combined)

Clinical Characteristics	Percent in Total Sample for Reference	MDS WT	MDS BMI	NRT <sup>2</sup> WT	NRT BMI	MAMA <sup>3</sup>	FFM <sup>4</sup>	%BF <sup>5</sup>
		(n=181)	(n=86)	(n=165)	(n=154)	(n=163)	(n=140)	(n=140)
Age >95	20	28	17	30 <sup>B</sup>	20	33 <sup>*</sup>	26	21
Poor Intake	26	36 <sup>B</sup>	48 <sup>*</sup>	45 <sup>***</sup>	36 <sup>*</sup>	40 <sup>*</sup>	39 <sup>*</sup>	36 <sup>*</sup>
Eating Dependence	19	25	22	30 <sup>B</sup>	23	30 <sup>B</sup>	15	21 <sup>*</sup>
Weight Loss	6	9	9	12 <sup>**</sup>	11 <sup>*</sup>	9	9	9
Swallowing Problems	7	11	17 <sup>B</sup>	15 <sup>B</sup>	13	9	16 <sup>*</sup>	9
Oral/Dental Problems	8	13	8	15 <sup>B</sup>	8	12	12	6
Advanced Cognitive Impairment	31	34	30	40	41 <sup>*</sup>	40	18	42 <sup>***</sup>
Advanced ADL Dependence	31	34	35	40	33	40	26	26
Pressure Ulcers	8	13	9	12	13 <sup>*</sup>	15 <sup>B</sup>	9	12 <sup>B</sup>
CHF	29	23	39	27	23	33	38	26
Diabetes	17	15	13	10	15	9	9	6 <sup>B</sup>
Alzheimer's Disease	40	43	35	50	41	55 <sup>B</sup>	38	35
CVA	13	15	22	12	13	21 <sup>*</sup>	9	21

<sup>1</sup> = Bioelectrical Impedance Analysis

<sup>2</sup> = Nutritional Research Team

<sup>3</sup> = Mean Arm Muscle Area

<sup>4</sup> = Fat Free Mass

<sup>5</sup> = Percent Body Fat

B p < .05-1

\* p < .05

\*\* p < .01

\*\*\* p < .001



Table 5. Percent of Residents with Selected Clinical Characteristics in Highest Quartile of Anthropometric/BIA<sup>1</sup> Measures (male and female combined)

Clinical Characteristics	Percent in Total Sample for Reference		MDS WT (n=181)	MDS BMI (n=86)	NRT <sup>2</sup> WT (n=165)	NRT BMI (n=154)	MAMA <sup>3</sup> (n=163)	FFM <sup>4</sup> (n=140)	%BF <sup>5</sup> (n=140)
Age >95	20		12	17	10*	18	12	17	8 <sup>b</sup>
Poor Intake	26		17 <sup>b</sup>	17	10**	8**	12 <sup>b</sup>	14	11*
Eating Dependency	19		12	-	12 <sup>b</sup>	5*	9 <sup>b</sup>	8	11
Weight Loss	5		-	4	-	-	-	-	-
Swallowing Problems	7		-	4	-	5	-	-	5
Oral/Dental Problems	8		6	-	8	7	6	-	14*
Complaint of Hunger	9		17*	9	17*	18*	12	14	19*
Advanced Cognitive Impairment	31		23	4*	21 <sup>b</sup>	12*	29	25	17
Advanced ADL Dependency	31		23	13 <sup>b</sup>	19*	15 <sup>b</sup>	29	11 <sup>b</sup>	22
CHF	29		40 <sup>b</sup>	35	43*	42 <sup>b</sup>	35	33	47*
Diabetes	17		23	22	26 <sup>b</sup>	20	11	11	28*
Alzheimer's Disease	40		33	35	36	45	50	30	36
CVA	13		21 <sup>b</sup>	22	19	12	8	17	14

<sup>1</sup> = Bioelectrical Impedance Analysis

<sup>2</sup> = Nutritional Research Team

<sup>3</sup> = Mean Arm Muscle Area

<sup>4</sup> = Fat Free Mass

<sup>5</sup> = Percent Body Fat

B p < .05-1

\* p < .05

\*\* p < .01

\*\*\* p < .001

- 0 or 1 resident with characteristic

Table 6. Odds Ratio (Adjusted for Age and Gender) for Association of Clinical Characteristics with Lower and Higher Nutritional Status

Lower Nutritional Status	Intake			Eating Dependence			Advanced Cognitive Impairment			Pressure Ulcer		
	Estimate	Odds Ratio	95% Conf Int	Estimate	Odds Ratio	95% Conf Int	Estimate	Odds Ratio	95% Conf Int	Estimate	Odds Ratio	95% Conf Int
Lowest Quartile												
BMI	0.92	2.50	1.10, 5.70		NS		0.62	2.27	1.04, 5.00	1.70	5.60	1.30, 24.8
%BF <sup>1</sup>	0.89	2.43	1.00, 5.80 <sup>b</sup>	1.14	3.13	1.02, 9.58	1.27	3.55	1.48, 8.54	1.22	3.40	
MAMA <sup>2</sup>	1.02	2.78	1.80, 6.53	0.90	2.47	0.99, 6.19 <sup>b</sup>	0.63	1.88		1.21	3.40	0.90, 12.0 <sup>b</sup>

Higher Nutritional Status	Intake			Eating Dependence			Complaint of Hunger			CHF		
	Estimate	Odds Ratio	95% Conf Int	Estimate	Odds Ratio	95% Conf Int	Estimate	Odds Ratio	95% Conf Int	Estimate	Odds Ratio	95% Conf Int
Highest Quartile												
BMI	-1.58	0.20	0.06, 0.72	-1.56	-0.21	0.05, 0.94	1.26	3.50	1.10, 11.1	0.67	2.00	0.90, 4.20 <sup>b</sup>
%BF	-1.14	0.30	0.10, 1.0 <sup>b</sup>		NS		1.18	3.20	1.00, 10.3 <sup>b</sup>	0.95	2.60	1.20, 5.80
MAMA	-1.06	0.35	NS	-1.16	0.31	0.10, 1.10 <sup>b</sup>		NS			NS	

<sup>1</sup>=Percent Body Fat  
<sup>2</sup>=Mean Arm Muscle Area  
<sup>b</sup>=Border-line Association